

APPLICATION TRANSMITTAL

Attorney Docket No. 50277-1525

01-02-01

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

## TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, <u>Victor Shao</u>, et al. entitled <u>MOBILE SURVEYS AND POLLING</u>, for a(n):

(X) Original Patent Application.
( ) Continuing Application (prior application not abandoned):  ( ) Continuation ( ) Divisional ( ) Continuation-in-part (CIP)  of prior application No: Filed on:  ( ) A statement claiming priority under 35 USC § 120 has been added to the specification.
Enclosed are:  (X) Specification 48 Total Pages; (X) Drawing(s) 2 Total Sheets; (X) Cover Sheet 1 Page  (X) Oath or Declaration: Pages  ( ) A Newly Executed Combined Declaration and Power of Attorney: (X) Signed. (X) Unsigned. ( ) Partially Signed.  ( ) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).  ( ) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.  ( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).  (X) Power of Attorney. (X) Return Receipt Postcard.  ( ) Associate Power of Attorney. ( ) A Check in the amount of \$ for the Filing Fee.  ( ) Preliminary Amendment. ( ) Information Disclosure Statement and Form PTO-1449.  (X) A Duplicate Copy of this Form for Processing Fee Against Deposit Account.  (X) An Executed Assignment to Oracle Corporation with Recordation Cover Sheet.
(X) Other: Fee Transmittal Sheet (1 pg); Request and Certification Under 35 U.S.C. 122(b)(2) (B)(i) (1 pg.)

		CLAIMS AS FILED		
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	14	0	\$18.00	\$0.00
Independent Claims	2	0	\$80.00	\$0.00
Multiple Dependent Cla		\$0.00		
Assignment Recording F	ee			\$40.00
Basic Filing Fee				\$710.00
			Total Filing Fee	\$ 750.00

Charge \$750.00 to Oracle Deposit Account \_\_150635 \_\_ pursuant to 37 CFR § 1.25. At any time during the pendency of this application, please charge any fees required or credit any overpayment to the Oracle Deposit Account.

Respectfully submitted,

Ву:

Brian D. Hickman, Attorney of Record, Reg. No. 35,894

Date:

Correspondence Address:

Hickman Palermo Truong & Becker, LLP 1600 Willow Street San Jose, California 95125-5106

Telephone: (408) 414-1080 Facsimile: (408) 414-1076

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

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PTO/SB/17 (12/99)
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## FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision, Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 AND 1.28

TOTAL AMOUNT OF PAYMENT

(\$) 750.00

Complete if Known				
Application Number				
Filing Date	December 29, 2000			
First Named Inventor	Victor Shao			
Examiner Name	Not Yet Assigned			
Group/Art Unit	Not Yet Assigned			
Attorney Docket No.	50277-1525			

The Commission of a heavy authorized to charge indicated for charge indicated for charge in the ch	METHOD OF PAYMENT (check one)				FEE	CALCULATION (continued)	
	1 The Commissioner is hereby authorized to charge indicated	3. A	DDITI	ÓNAL	<b>FEES</b>		
	fees and credit any overpayments to:	Large E	ntity	Small E	ntity	Fee Description	Fee Paid
Depocial Account   Name	Account   150635						
Account   Chrack Corporation   Chack   Charge Any Additional Fee Required Under 37 CFR § 1.16 and 1.17							<del></del>
Check	Account   Oracle Corporation	105	130	205	65	Surcharge – late filing fee or oath	
Check	Charge Any Additional Fee Required	127	50	227	25		
Substitution   Check   Gorder   Other   Othe	2. Payment Enclosed:	139	130	139	130	Non-English specification	
Applicant claims small entity status. See 37   CFR 1.27		112	920*	112	920*		
The content of the	3. Applicant claims small entity status. See 37	113	1,840*	113	1,840*		
Total Claims	CFR 1.27						
Large Entity   Small Entity   Fee	FEE CALCULATION	115	110	215	55	Extension for reply within first month	
18	1. BASIC FILING FEE	116	390	216	195	Extension for reply within second month	
Total Claims	Large Entity Small Entity	117	890	217	445	Extension for reply within third month	
101   710   201   355   Utility filing fee   710.00   128   1,890   228   945   Extension for reply within fifth month   106   320   206   160   Design filing fee   120   310   219   155   Notice of Appeal   120   310   219   155   Notice of Appeal   120   310   220   155   Filing a brief in support of an appeal   120   310   220   155   Filing a brief in support of an appeal   120   310   220   155   Filing a brief in support of an appeal   120   310   220   155   Filing a brief in support of an appeal   120   135   Request for oral hearing   120   135   Request for oral hearing   120   135   Request for oral hearing   120	Fee Fee Fee Fee Description	118	1,390	218	695	Extension for reply within fourth month	
110   320   206   160   Design filing fee   120   310   220   155   Filing a brief in support of an appeal   120   310   220   155   Filing a brief in support of an appeal   120   310   220   155   Filing a brief in support of an appeal   120   310   220   155   Filing a brief in support of an appeal   120   310   220   155   Filing a brief in support of an appeal   120   310   220   155   Filing a brief in support of an appeal   120   310   220   155   Filing a brief in support of an appeal   120   310   220   155   Filing a brief in support of an appeal   120   310   220   155   Filing a brief in support of an appeal   120   310   220   155   Filing a brief in support of an appeal   120   310   220   155   Filing a brief in support of an appeal   120   310   220   155   Filing a brief in support of an appeal   120   310   220   155   Filing a brief in support of an appeal   120   310   220   155   Filing a brief in support of an appeal   120   310   220   155   Filing a brief in support of an appeal   120   135   136   136   138   1,510   138   1	<b>G:</b> 0.00	128	1.890	228	945	Extension for reply within fifth month	
120   310   220   155   Filing a brief in support of an appeal   120   310   220   155   Filing a brief in support of an appeal   120   310   220   135   Filing a brief in support of an appeal   121   270   221   135   Request for oral hearing   120   138   1,510   1,510   1,	101 710 201 333 duity lilling lee	ŧ.	•			• •	
108   710   208   355   Reissue filing fee	• • • • • • • • • • • • • • • • • • • •					* *	<del></del>
114   150   214   75   Provisional filing fee		121	270	221	135	•	
140		138	1,510	138	1,510	· -	
142   1,210   242   605   Utility issue fee (or reissue)	114 130 214 13 Flovisionarining les	140	110	240	55	Petition to revive – unavoidable	
Total Claims   1	SUBTOTAL (1) (\$) 710.00	141	1,210	241	605	Petition to revive unintentional	
Total Claims independent	2. EXTRA CLAIM FEES	142	1,210	242	605	Utility issue fee (or reissue)	
Total Claims independent		143	430	243	215	Design issue fee	
Independent Claims  2 - 3**=		144	580	244	290	Plant issue fee	
Multiple Dependent  **or number previously paid, if greater; For Reissues, see below Large Entity Fee Fee Fee Code (\$)  103 18 203 9 Claims in excess of 20  104 270 204 135 Multiple dependent claims in excess of 20  109 80 209 40 **Reissue independent claims over original patent*  **Reduced by Basic Filing Fee Paid SUBTOTAL (3)  *Reduced by Basic Filing Fee Paid SUBTOTAL (3)	Independent X 80.00 =	122	130	122	130	Petitions to the Commissioner	
**or number previously paid, if greater; For Reissues, see below Large Entity Fee Fee Fee Fee Fee Fee Fee Fee Fee Sode (\$)  103 18 203 9 Claims in excess of 20  104 270 204 135 Multiple dependent claims in excess of 20  109 80 209 40 **Reissue independent claims over original patent*  Substotal (2) (\$)710.00  126 240 126 240 Submission of information Disclosure Stmt  127 240 Recording each patent assignment per property (times number of properties)  40.00  128 240 129 240 Recording each patent assignment per property (times number of properties)  40.00  146 690 246 345 Filing a submission after final rejection (37 CFR § 1.129(a))  149 690 249 345 For each additional invention to be examined (37 CFR § 1.129(b))  149 690 249 345 For each additional invention to be examined (37 CFR § 1.129(b))  158 210 9 **Reissue independent claims over original patent*  158 40 581 40 581 40 Recording each patent assignment per property (times number of properties)  149 690 249 345 For each additional invention to be examined (37 CFR § 1.129(b))  169 240 126 240 126 240 126 240 Submission of information Disclosure Stmt 126 240		123	50	123	50	Petitions related to provisional applications	
Large Entity Fee Fee Fee Fee Fee Fee Fee Fee Fee Fe	• • • — —	126	240	126	240	Submission of information Disclosure Stmt	
103 18 203 9 Claims in excess of 20 104 270 204 135 Multiple dependent claims in excess of 20 105 80 209 40 **Reissue independent claims in excess of 20 106 170 18 210 9 **Reissue claims in excess of 20 and over original patent  107 18 210 (\$)710.00 146 690 246 345 Filing a submission after final rejection (37 CFR § 1.129(a))  148 690 249 345 For each additional invention to be examined (37 CFR § 1.129(b))  149 690 249 345 For each additional invention to be examined (37 CFR § 1.129(b))  149 690 249 345 For each additional invention to be examined (37 CFR § 1.129(b))  149 690 249 345 For each additional invention to be examined (37 CFR § 1.129(b))  149 690 249 345 For each additional invention to be examined (37 CFR § 1.129(b))  140 690 249 345 For each additional invention to be examined (37 CFR § 1.129(b))  140 690 249 345 For each additional invention to be examined (37 CFR § 1.129(b))  140 690 249 345 For each additional invention to be examined (37 CFR § 1.129(b))  140 690 249 345 For each additional invention to be examined (37 CFR § 1.129(b))  140 690 249 345 For each additional invention to be examined (37 CFR § 1.129(b))  140 690 249 345 For each additional invention to be examined (37 CFR § 1.129(b))  150 Cher fee (specify)  150 Cher fee (specify)  150 Cher fee (specify)  150 Cher fee (specify)  160 Cher fee (specify)  170 Cher fee (specify)	Large Entity Small Entity Fee Fee Fee Fee Fee Description	581	40	581	40		40.00
examined (37 CFR § 1.129(b))  104 270 204 135 Multiple dependent claim, if not paid 109 80 209 40 **Reissue independent claims over original patent  110 18 210 9 **Reissue claims in excess of 20 and over original patent  SUBTOTAL (2) (\$)710.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00	, ,	146	690	246	345		
109 80 209 40 **Reissue independent claims over original patent  110 18 210 9 **Reissue claims in excess of 20 and over original patent  SUBTOTAL (2) (\$)710.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00	102 80 202 40 Independent claims in excess of 3	149	690	249	345		
over original patent  110 18 210 9 **Reissue claims in excess of 20 and over original patent  SUBTOTAL (2) (\$)710.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00	104 270 204 135 Multiple dependent claim, if not paid						
SUBTOTAL (2) (\$)710.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00	109 80 209 40 **Reissue independent claims over original patent		e (spec	ify) _			
	110 18 210 9 **Reissue claims in excess of 20		ee (spec	ify) _			
	SUBTOTAL (2) (\$)710.00	*Reduc	ed by B	asic Filir	g Fee P	aid SUBTOTAL (3) (\$) 40.00	

Signature WARNING:

Name (Print/Type)

Brian D. Hickman

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Registration No. (Attorney/Agent)

35,894

Telephone

Date

(408) 414-1080 December 29, 2000

PTO/SB/35 (11-00)
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## REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor		Victor Shao, et al.	
Title	MOBILE SURVEYS AND POLLING		
Atty. Docket Number		50277-1525	

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

December 29, 2000

Date

Signature

BRIAN D. HICKMAN Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**